## Method for countermeasuring in an electronic component

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The present invention relates to a method for countermeasuring in an electronic component implementing a secret-key encryption algorithm.

the conventional secret-key cryptography model, two people who wish to communicate over a nonsecure channel must first agree on a secret encryption The encryption function and the decryption key K. function use the same key K. The drawback of the public-key encryption system is that said system requires prior communication of the secret key between the two people over a secure channel, before any encrypted message is sent over a non-secure channel. is generally difficult to In practice, it communications channel that is fully secure, especially if the two people are a long distance apart. "secure channel" is used to mean a channel for which it is impossible to know or to modify the information conveyed over said channel. Such a secure channel can be implemented by a cable interconnecting two terminals possessed by respective ones of said two people.

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concept of public-key cryptography invented by Whitfield Diffie and Martin Hellman in 1976 (IEEE Transactions on Information Theory, volume 22, Public-key 6, 644-654, 1976). number pages cryptography makes it possible to solve the problem of distributing keys over a non-secure channel. The principle of public-key cryptography consists in using a pair of keys, namely an encryption public key and a decryption private key. It must be computationally unfeasible to find the decryption private key from the encryption public Α person wishing key. Α communicate information to a person В uses encryption public key of the person B. Only the person B possesses the private key associated with his or her Therefore, only the person B is capable of public key. decrypting the message sent to him or her.

The difficult computational problem considered by Diffie and Hellman is to solve the discrete logarithm problem in the multiplicative group of a finite field.

It is recalled that, in a finite field, the number of elements of the field is always expressed in the form  $q^n$ , where q is a prime number that is called the "characteristic" of the field and n is an integer number. A finite field possessing  $q^n$  elements is written  $GF(q^n)$ . When the integer number n is equal to 1, the finite field is said to be "prime". A field has two groups, namely a multiplicative group and an

additive group. In the multiplicative group, neutral element is written "1" and the group law written in multiplicative notation by the symbol and is called "multiplication". Said law defines the exponentiation operation in the multiplicative group G: given that an element g belonging to G is an integer d, the result of the exponentiation of q by d is the element y such that y=q<sup>d</sup>=g.g.g....g (d times) group G. It is also recalled that the order of a group G is the number of its elements and that the order of an element g in G is the most integer positive e such that g^e=1 in G. An important property on the order of the elements of a group is given by Lagrange's theorem: the order of any element always divides the order of its group.

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Solving the discrete logarithm problem in the multiplicative group G of a finite field consists in determining whether there exists an integer d such that  $y=g^d$  in G, given two elements y and y belonging to y.

Another advantage of public-key cryptography over secret-key cryptography is that public-key cryptography makes authentication possible by using an electronic signature.

The first implementation of a public-key encryption scheme was developed in 1977 by Ronald Rivest, Adi Shamir, and Leonard Adleman (Communications of the ACM, volume 21, number 2, pages 120-126, 1978) who invented the RSA (Rivest-Shamir-Adleman) encryption system. The security of RSA is based on the difficulty

of factoring a large number which is the product of two prime numbers.

The RSA encryption system is built in the multiplicative group G of the ring Z/(nZ) obtained by taking the quotient of the ring of the integers Z by the ring nZ, where n is a large integer which is the product of prime numbers p and q. Solving the RSA problem in said group G consists in determining whether there exists an element m of G such that  $c=m^e$  in G, given an element c of G and an integer e relatively prime with the order of the Group G.

Since then, numerous public-key encryption systems have been proposed, the security of such systems being based on various computation problems, a non-exhaustive list of which is given below:

- Merkle-Hellman knapsack:
  - That encryption system is based on the difficulty of the subset sum problem.
- McEliece:

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- That encryption system is based on the algebraic code theory. It is based on the linear code decoding problem.
  - El Gamal:
    - That encryption system is based on the difficulty of the discrete logarithm problem in a finite field.
  - Elliptic curves:
    - The elliptic-curve encryption system constitutes a modification to existing

cryptographic systems so as to apply them to the domain of elliptical curves.

The use of elliptic curves in cryptographic systems was proposed independently by Victor Miller (Advances in Cryptology - CRYPTO '85, volume 216 of Lecture Notes in Computer Science, Springer-Verlag, 1986) and Neal Koblitz (Mathematics of Computation, volume 48, number 177, pages 203-209, 1987) in 1985. The real applications of elliptic curves were devised the beginning of the nineteen nineties. The advantage of cryptographic systems based on elliptic curves is that they provide security equivalent to the other cryptographic systems but with smaller key sizes. That saving in key size brings a reduction in memory needs and a reduction in computation time, thereby making the use of elliptic curves particularly well suited to applications of the smart card type.

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It is recalled that an elliptic curve on a finite field  $GF(q^n)$  is the set of firstly the points (x,y) belonging to  $GF(q^n)$  verifying the following equation:  $Y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$ , with  $a_1$  in  $GF(q^n)$ , and secondly the point at infinity 0. Any elliptic curve defined on a field can be expressed in this form.

The set of the points (x,y) and the point at infinity form an abelian group in which the point at infinity is the neutral element and in which the group operation is points addition, noted "+" and given by the well known rule of the secant and of the tangent (see, for example, "Elliptic Curve Public Key

Cryptosystems" by Alfred Menezes, Kluwer, 1993). In that group, the (x,y) pair, where the x-axis and the y-axis are elements of the field  $GF(q^n)$ , forms the affine co-ordinates of a point P of the elliptic curve.

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The points addition operation makes it possible to define an elliptic curve exponentiation operation: given a point P belonging to an elliptic curve, and an integer d, the result of the exponentiation of P by d is the point Q such that Q=d\*P=P+P+...+P (d times). When elliptic curves are used, in order to emphasize the additive notation, the exponentiation is also called "scalar multiplication".

The security of elliptic-curve cryptographic algorithms is based on the difficulty of the discrete logarithm problem in Group G formed by the points of an elliptic curve, said problem consisting, from points Q and P belonging to G, in finding an integer d such that Q=d\*P, when such an integer exists.

Numerous cryptography algorithms exist that are built on a group G. Thus, it is possible to implement algorithms providing authentication, confidentiality, integrity checking, and key exchange.

A property common to most cryptography algorithms built on a group G is that they have, as a parameter, an element g belonging to that group. The private key is an integer d that is chosen randomly. The public key is an element such that  $y=g^d$ . Such cryptography algorithms generally involve an exponentiation in computing an element  $z=h^d$ , where d is the secret key and h is an element of the group G.

In the paragraph below, a description is given of an encryption algorithm based on the discrete logarithm problem in a group G, written in multiplicative notation. That scheme is analogous to the El Gamel encryption scheme. Let a group be G and an element in G be g. The encryption public key is y=g^d and the decryption private key is d. A message m is encrypted in the following manner:

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- The person who wishes to communicate information, that person being referred to as the "encrypter", chooses an integer randomly and computes the elements h=g^k and  $z=y^k$  in the Group G, and  $c=R(z)\oplus m$ , where R is a function applying the elements of G to all of the messages and  $\oplus$  designates the exclusive OR operator. The ciphertext corresponding to m is the pair (h,c).
  - The person to whom the ciphertext is addressed, referred to as the "decrypter", who possesses the secret key d, decrypts m by computing:

 $z'=h^d=g^(k.d)=y^k$  and  $m=R(z')\oplus c$ .

In order to perform the exponentiations necessary in the above-described computation methods, several algorithms exist:

- the left-to-right binary exponentiation algorithm;
- the addition chain exponentiation algorithm or the addition-subtraction chain exponentiation algorithm;

- the left-to-right k-ary exponentiation algorithm; and
- the algorithm for exponentiation with signeddigit representation of the exponent.

Those algorithms are described in detail in Chapter 14 of the "Handbook of Applied Cryptography" by A.J. Menezes, P.C. van Oorschot, and S.A. Vanstone, CRC Press, 1997. This list is not exhaustive.

The simplest and most commonly used algorithm is the left-to-right binary exponentiation algorithm. The left-to-right binary exponentiation algorithm takes as input an element g of a group G and an exponent d. The exponent d is written d d=(d(t),d(t-1),...,d(0)), where (d(t),d(t-1),...,d(0)) is the binary representation of d, where d(t) is the most significant bit and d(0) is the least significant bit. The algorithm returns as output the element  $y=q^d$  in the group G.

The left-to-right binary exponentiation algorithm comprises the following three steps:

- Initialize the register A with the neutral element G
  - 2) For i from t down to 0, do the following:2a) Replace A with A^22b) If d(i)=1, then replace A with A.g
  - 3) Return A.

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The left-to-right k-ary exponentiation algorithm takes as input an element g of a group G and an exponent d noted d=(d(t),d(t-1),...,d(0)), where (d(t),d(t-1),...,d(0)) is the k-ary representation of d, i.e. each digit d(i) of the representation of d is an

integer lying in the range 0 to  $2^k-1$  for an integer  $k\geq 1$ , where d(t) is the most significant digit and d(0) is the least significant digit. The algorithm returns as output the element  $y=g^d$  in the group G and comprises the following four steps:

- 1) Precomputation:
  - (1a) Let  $g_1=g$
  - (1b) If  $k \ge 2$ , for i from 2 to  $(2^k-1)$ : compute  $q_i=d^i$
- 2) Initialize the register A with the neutral element G
  - (3) For i from t down to 0, do the following:
    - (3a) Replace A with A<sup>^</sup>(2<sup>^</sup>k)
    - (3b) If d(i) is non-zero, replace A with A.gi
- 15 4) Return A.

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When k is equal to 1, it is observed that the left-to-right k-ary exponentiation algorithm is none other than the left-to-right binary exponentiation algorithm.

20 The left-to-right k-ary exponentiation algorithm adapted to take as input a signed-digit representation of the exponent d. The exponent d signed-digit the k-ary representation given by (d(t),d(t-1),...,d(0)) in which each digit (d(i)) is an integer lying in the range  $-(2^k-1)$  to  $2^k-1$  for an 25 integer  $k\geq 1$ , where d(t) is the most significant digit and d(0) is the least significant digit. Step 3b of the preceding algorithm is then replaced with:

3b') If d(i) is strictly positive, replace A with A.g<sub>i</sub>; and if d(i) is strictly negative, replace A with A.( $q_i$ )^(-1).

That adaptation is particularly advantageous when the inverses of the elements  $g_i$ , written  $(g_i)^{-}(-1)$ , are easy or low-cost to compute. This applies, for example, in the group G of the points of an elliptic curve. When the inverses of the elements  $g_i$  are not easy or are too costly to compute, their values are precomputed.

situations, the certain product exponentiations, of the type (g^g).(h^e) in a group G where q and h are elements of G, and d and e are two integers whose binary representations are respectively (d(t),d(t-1),...,d(0)) and (e(t),e(t-1),...,e(0)), be computed. This applies in particular to a Digital Signature Algorithm (DSA) digital signature. computing each exponentiation q^d and h^e separately and then evaluating the product thereof, the left-to-right binary exponentiation algorithm extend to compute the double exponentiation (g^d).(h^e) in G as follows:

- 1) Initialize the register A with the neutral element G
- 2) For i from t down to 0, do the following:
  - 2a) Replace A with A^2
  - 2b) If d(i)=1, replace A with A.g
  - 2c) If e(i)=1, replace A with A.h
- 3) Return A.

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The advantage of that method is that the number of multiplications for the computation of (g^d).(h^e) is small compared with two successive applications of the left-to-right binary exponentiation algorithm. An improvement in speed for the preceding algorithm consists in precomputing the element u=g.h in G. Thus, the double binary exponentiation algorithm for computing (g^d).(h^e) in G can be written:

- 1) Precomputation:
- 1a) Compute u=g.h

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- 2) Initialize the register A with the neutral element of G
- 3) For i from t down to 0, do the following:
  - 3a) Replace A with A^2
  - 3b) If d(i)=1 and e(i)=0, replace A with A.g
  - 3c) If d(i)=0 and e(i)=1, replace A with A.h.
  - 3c) If d(i)=1 and e(i)=1, replace A with A.u
- 4) Return A.

The preceding double binary exponentiation 20 be generalized by taking algorithm can as elements g and h of a group G and exponents d and e respectively by the k-ary representations d=(d(t),d(t-1),...,d(0)) and e=(e(t),e(t-1),...,e(0)), for The algorithm returns as output the an integer k≥1. 25 element  $y=(g^d).(h^e)$  in the group G and comprises the following four steps:

- 1) Precomputation:
  - 1a) Let  $g_1=g$  and  $h_1=h$
  - 1b) If  $k \ge 2$ , for i from 2 to  $(2^k-1)$ : compute  $g_i = g^i$  and  $h_i = h^i$

- 2) Initialize the register A with the neutral element G
- 3) For i from t down to 0, do the following:
  - 3a) Replace A with A<sup>^</sup>(2<sup>^</sup>k)
  - 3b) If d(i) is non-zero, replace A with A.gi
  - 3c) If e(i) is non-zero, replace A with A.hi
- 4) Return A.

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If the exponents e and d are given as k-ary representation signed by d=(d(t),d(t-1),...,d(0)) and e=(e(t),e(t-1),...,e(0)), steps 3b and 3c of the preceding algorithm are then replaced with:

- 3b') If d(i) is strictly positive, replace A with  $A.g_i$ ; and if d(i) is strictly negative, replace A with  $A.(g_i)^{(-1)}$
- 15 3c') If e(i) is strictly positive, replace A with  $A.h_i$ ; and if e(i) is strictly negative, replace A with  $A.(h_i)^{(-1)}$

Remarkably, the double exponentiation algorithm corresponding to the case k=1in the preceding algorithm in which the exponents d and e are given as binary signed-digit representations is particularly advantageous for applications of the elliptic curve type in an environment of the smart card type because the inverse of an element is low-cost and the memory needs are small. Numerous variants on this particular case of k=1 are presented in a technical report by Jerome Solinas (Technical Report CORR-2001-41, Center for Applied Cryptographic Research (CACR), University of Waterloo, Canada).

This list of double exponentiation algorithms is not exhaustive.

The above-described exponentiation and exponentiation algorithms are given in multiplicative notation; in other words the group law of the group G is written "." (multiplication). Those algorithms can in additive notation by replacing be given the multiplications with additions; in other words, group law of the group G is written "+" (addition). This applies, for example, for the group of the points of an elliptic curve which is usually given in additive form.

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Ιt appeared that, on has а smart implementing a public-key cryptography algorithm built on a group G is vulnerable to attacks consisting in differentially analyzing a physical magnitude making it possible to retrieve the secret key. Such attacks are known as "Differential Power Analysis" ("DPA") attacks and they were revealed in particular by Paul Kocher (Advances in Cryptology - CRYPTO '99, volume 1966 of in Computer Science, Lecture Notes pages 388-397, Among the physical magnitudes Springer-Verlag, 1999). that can be used for such purposes, mention can be made of current consumption, electromagnetic field, etc. Such attacks are based on the fact that handling a bit, processing a bit by means of a particular instruction, has a particular imprint on the physical magnitude in question, depending on its value.

In particular, when an instruction handles data having a particular bit that is constant, with it being

possible for the values of the other bits to vary, analysis of current consumption due to the instruction shows that the mean consumption of the instruction is not the same depending on whether the particular bit takes the value 0 or 1. A DPA-type attack thus makes it possible to obtain additional information on the intermediate data handled by the microprocessor of the electronic component during execution of a cryptography algorithm. Said additional information can, in certain cases, make it possible to reveal private parameters of the cryptography algorithm, making the cryptographic system vulnerable.

An effective parry to attacks of the DPA type is to make the inputs of the exponentiation algorithm used to compute  $y=g^d$  random. In other words, the exponent d and/or the element g is/are made random. In additive notation, in the computation of Q=d\*P, the exponent d and/or the element P is/are made random.

Countermeasure methods applying that principle are known.

In particular, a countermeasure method consists in masking the exponent d in the computation of  $y=g^d$  in a group G by replacing d with d+r.q, where r is a random integer and q is a multiple of the order of the element g in the group G; by using Lagrange's theorem, a common choice for that multiple is the order of the group G. The value of  $y=g^d$  in G is then obtained by computing  $y=g^d$  with d'=d+r.q. That countermeasure is, in particular, described in an article by Jean-Sabastien Coron (Cryptographic Hardware and Embedded

Systems, volume 1717 of Lecture Notes in Computer Science, pages 292-302, Springer-Verlag, 1999) when G is the group of the points of an elliptic curve defined on a finite field.

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The disadvantage of the preceding countermeasure that it requires knowledge of the order of the element g in the group G or of a multiple of that In many situations, that value is unknown and order. too costly orimpossible to compute. Another disadvantage appears when the exponent d is replaced with d+r.q where q is a relatively large multiple of the order of the element g in the group G because the by the masking extra cost generated becomes prohibitive.

method Another countermeasure described, particular, in an article by Christophe Clavier and Marc Joye (Cryptographic Hardware and Embedded Systems, 2162 of Lecture Notes in Computer Science, pages 300-308, Springer-Verlag, 2001) consists writing the exponent d in the form d=(d-r)+r, where r is a random integer, and then in evaluating y=g^d in the group G as the product of the two exponentiations g^(d-r) and g^r in G. Unlike the countermeasure described above, that countermeasure does not require the value of the order of g in G or of one of its multiples. A variant consists in drawing a random integer r and in writing d in the form  $d=d_2.r+d_1$ , where d<sub>2</sub> is equal to the default value of the integer division of d by r, and  $r_1$  is equal to the remainder of said division. The computation of y=g^d in the group G is

then evaluated the product of as the two exponentiations  $g^d_1$  and  $h^d_2$ , where  $h=g^r$  in G. The disadvantage with that type of countermeasure is that a plurality of exponentiations are necessary for computing y=q^d in G.

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An object of the present invention is to provide a countermeasure method, in particular for implementing a countermeasure against DPA-type attacks.

Another object of the invention is to provide a countermeasure method that is easy to implement.

The basic idea of the invention is to make the exponent d random by expressing it randomly in the form  $d=d_2.s+d_1$ , where  $d_1$ ,  $d_2$ , and s are integers, and then by computing the exponentiation  $y=g^d$  in the group G by using a double exponentiation algorithm.

The invention thus provides a countermeasure method for implementation in an electronic component and implementing a public-key cryptography algorithm comprising exponentiation computation of the y=g^d, where g and y are elements of the determined group G written in multiplicative notation, and d is a predetermined number, said countermeasure method being characterized in that it comprises a masking first step for expressing the exponent d randomly in the form  $d=d_2.s+d_1$ , where  $d_1$ ,  $d_2$ , and s are integers and a second step for computing the value of y=g^d in G by any exponentiation algorithm of the  $(g^d_1).(h^d_2)$  with h=g^s in G. This method applies in the same way if the group G is written in additive notation.

Other characteristics and advantages of the invention are presented in the following descriptions, given with reference to particular implementations.

It is explained above that the simplest exponentiation algorithm in a group G is the left-to-right exponentiation algorithm. In the same way, the simplest double exponentiation algorithms are given by the various extensions of the left-to-right binary exponentiation algorithm.

Let g be an element of a group G, and let d be an exponent. Thus, a countermeasure method of the invention can be written as follows:

1) Masking of d:

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- 1a) Express d randomly in the form d=d<sub>2</sub>.s+d<sub>1</sub>,
  where d<sub>1</sub>, d<sub>2</sub>, and s are integers
  1b) Let (d<sub>1</sub>(t),d<sub>1</sub>(t-1),...,d<sub>1</sub>(0)) and
  (d<sub>2</sub>(t),d<sub>2</sub>(t-1),...,d<sub>2</sub>(0)) be the respective
  binary representations of d<sub>1</sub> and of d<sub>2</sub>
- 2) Double exponentiation:
  - 2a) Define (compute) the element h=g^s in G
  - 2b) Initialize the register A with the neutral element of G
  - - 2c2) If  $d_1(i)=1$ , replace A with A.q.
    - 2c3) If  $d_2(i)=1$ , replace A with A.h
    - 2c4) Return A.

Remarkably, this method masks the exponent d and requires at the most only three multiplications in G per iteration at step 2). This number of

multiplications in G is reduced to two when the product of g and of h is precomputed. The following countermeasure method is thus obtained:

1) Masking of d:

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- 1a) Express d randomly in the form d=d<sub>2</sub>.s+d<sub>1</sub>,
   where d<sub>1</sub>, d<sub>2</sub>, and s are integers
   1b) Let (d<sub>1</sub>(t),d<sub>1</sub>(t-1),...,d<sub>1</sub>(0)) and
   (d<sub>2</sub>(t),d<sub>2</sub>(t-1),...,d<sub>2</sub>(0)) be the respective
   binary representations of d<sub>1</sub> and of d<sub>2</sub>
- 10 2) Double exponentiation:
  - 2a) Define (compute) the element h=q^s in G
  - 2b) Precompute u=g.h in G
  - 2c) Initialize the register A with the neutral element of G
  - 2d) For i from t down to 0, do the following: 2d1) Replace A with A^2
    - 2d2) If  $d_1(i)=1$  and  $d_2(i)=0$ , replace A with A.q
    - 2d3) If  $d_1(i)=0$  and  $d_2(i)=1$ , replace A with A.h
    - 2d4) If  $d_1(i)=1$  and  $d_2(i)=1$ , replace A with A.u
    - 2d5) Return A.

Another advantageous application of the invention concerns the exponentiation in the group G of the points of an elliptic curve defined on a finite field GF(q^n). In said group G, written in additive notation, the inversion of a point P, written -P, is an operation that is low-cost so that it is advantageous to represent the exponents in signed-digit manner. Let

P be a point in the group G of the points of an elliptic curve defined on a finite field  $GF(q^n)$  and let d be an exponent. Thus, a countermeasure method of the invention applied to the group of points of an elliptic curve on a finite field  $GF(q^n)$  can be written as follows:

## 1) Masking of d:

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- la) Express d randomly in the form  $d=d_2.s+d_1$ , where  $d_1$ ,  $d_2$ , and s are integers

  1b) Let  $(d_1(t),d_1(t-1),...,d_1(0))$  and  $(d_2(t),d_2(t-1),...,d_2(0))$  be the respective binary signed-digit representations for  $d_1$  and for  $d_2$
- 2) Exponentiation:
  - 2a) Define (compute) the point R=s\*P in G
  - 2b) Initialize a register A with the neutral element of G
  - - 2c2) If  $d_1(i)$  is non-zero, replace A with  $A+d_1(i)*P$
    - 2c3) If  $d_2(i)$  is non-zero, replace A with  $A+d_2(i)*R$

## 2c4) Return A.

In general, the countermeasure method applies to any double exponentiation algorithm in a group G, written in multiplicative notation or in additive notation.

A preferred implementation for expressing the exponent d randomly in the form  $d=d_2.s+d_1$ , where  $d_1$ ,  $d_2$ ,

and s are integers at step 1a in the above countermeasure methods consists in choosing a random integer s, and in taking  $d_2$  equal to the default value of the integer division of d by s, and  $d_1$  equal to the remainder of said division.

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Another preferred implementation for expressing the exponent d randomly in the form  $d=d_2.s+d_1$ , where  $d_1$ ,  $d_2$ , and s are integers at step 1a in the above countermeasure methods consists in choosing a random integer  $d_1$ , in setting s to the value 1 and in taking  $d_2$  equal to the difference between d and  $d_1$ .